

***Secretarial compliance report of JMT AUTO LIMITED for the year ended 31<sup>st</sup> March, 2021***

I CS Ankit Mazumdar, Practicing Company Secretary have examined:

- a) all the documents and records made available to us and explanation provided by **M/s JMT AUTO LIMITED** (“the listed entity”),
- b) the filings/ submissions made by the listed entity to the stock exchanges,
- c) website of the listed entity,
- d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended **31<sup>st</sup> March, 2021** (“Review Period”) in respect of compliance with the provisions of :

- a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not Applicable as the Company has not bought back any of its securities during the financial year under review.**
- e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; **Not Applicable as the Company has not granted any options to its employees during the financial year under review**
- f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;



- g) Securities and Exchange Board of India (Issue and Listing of Non- Convertible and Redeemable Preference Shares) Regulations, 2013; **Not Applicable**
- h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

I hereby report that, during the Review Period:

- a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued there under, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations/Circulars/guideline including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
1.	Regulation 33(3)(a)-Financial Result for Quarter ended 31 <sup>st</sup> March, 2020	Delayed Submission	The Audited financial results were submitted on 11 <sup>th</sup> August, 2020 with a delay of 9 (Nine) days.
2.	Regulation 33(3)(a)-Financial Result for Quarter ended 30 <sup>th</sup> September 2020	Delayed Submission	The Un-audited financial results were submitted on 28 <sup>th</sup> November, 2020 with a delay of 13 (Thirteen) days.

(b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued there under insofar as it appears from my examination of those records.

(c) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (*including under the Standard Operating Procedures issued by SEBI through various circulars*) under the aforesaid Acts/ Regulations and circulars/ guidelines issued there under:



Sr. No.	Action taken by	Details of violation	Details of action taken E.g. fines, warning letter, debarment, etc.	Observations! remarks of the Practicing Company Secretary, if any.
1.	Stock Exchange	Regulation 33(3)(a)-Financial Result for Quarter ended 31 <sup>st</sup> March, 2020	Penalty paid	The Penalty has been paid by the Company for late submission of Financial Result for the Quarter ended 31 <sup>st</sup> March, 2020
2.	Stock Exchange	Regulation 33(3)(a)-Financial Result for Quarter ended 30 <sup>th</sup> September, 2020	Penalty paid	The Penalty has been paid by the Company For late submission of Financial Result for the Qtr ended 30 <sup>th</sup> September, 2020

(d) The listed entity has taken the following actions to comply with the observations made in previous reports: **Company had installed a system in place to ensure Compliances on time, hence there were no delays in any compliances, except in submission of Results which was due to unavoidable circumstances.**

For  
**CS ANKIT MAZUMDAR**  
Practicing Company Secretary

*Ankit Mazumdar*

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Membership No.: A58994  
CP No.: 22261  
Date: 30.06.2021  
Place: Jamshedpur  
UDIN: A058994C000558625

